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JÖRG TREMMEL

Gabriele-Münter-Str. 42
73760 Ostfildern
German
joerg.tremmel@uni-tuebingen.de

Abstract: Scholars of Philosophy of History and scholars of Future Ethics/Generational Ethics have started to explore the interdependencies between their respective disciplines. This article sheds light on Rohbeck’s notion to incorporate Future Ethics within Philosophy of History. I argue that teleological concepts, such as Hegel’s and Marx’s, are a constituting component of Philosophy of History. As they are incommensurable with Future Ethics/Generational Ethics, the prospects of a merger of the two disciplines look bleak. At the same time, the notions of ‘progress’ and ‘development’ play a central role in both disciplines. As the ‘lead discipline’, Future Ethics/Generational Ethics should take an interest in teleological concepts of history, historiography and philosophy of history instead of largely ignoring these disciplines, as is the status quo.

Keywords: generational ethics, philosophy of history, intergenerational justice, teleology

Palavras-chave: Ética geracional, filosofia da história, justiça intergeracional, teleologia
Generational ethics makes use of knowledge from a wide range of disciplines. History provides an indispensable input for theories about intergenerational justice, since it supplies data about earlier ages and, thus, makes it possible to compare the living conditions of different generations. Therefore, generational ethicists are, or at least should be, interested in history. Vice versa, historians have become more interested in generational ethics recently. Generational ethics or future ethics meeting the philosophy of history – this encounter could turn out to be fruitful for both disciplines. So far, however, the two discourses have largely ignored each other. But some scholars are now taking the view that philosophy of history is itself “an early form of future ethics.” Johannes Rohbeck, a German philosopher at Dresden University, makes this argument by pointing to classical theories about the (hitherto and future) course of history, most of which have called for shaping the future in one way or the other. “This presented an ethical perspective, because the envisioned improvement was not merely anticipated, but more or less explicitly declared as having desirable tendencies.”

What Rohbeck has in mind are the teleological theories about the course of history. These theories answer the following questions in the affirmative (although differently):

- Does human history have a goal? Does history end if or when the goal is achieved?
- Is the history of mankind determined and can the future therefore be predicted?
- Is there anything or anyone “behind history” that moves it or controls its course?

This branch of theories reached a culmination point in Hegel and Marx. The former, for example, wrote that “We have to consider the history of the world according to its final purpose; this final purpose is what is wanted in the world” (98). “World history is the progress of the consciousness of freedom – a progress whose necessity we have to investigate,” is another much-quoted remark from Hegel’s Lectures on the Philosophy of History (32). Hegel was an idealist in the sense that he considered the spiritual, and the spir-

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Hegel took the “spirit” to encompass all manifestations of human consciousness, such as language, religion, art, jurisprudence, science and – above all – the state, which according to him marks the transition from pre-history to history proper (82). This “spirit” becomes increasingly evolved throughout the stages of history. The development is not linear; rather, it speeds up in times of crisis. History is the “Last Judgment” (R § 340) which assigns the peoples and civilizations their place and rank, and occupies the place of an absent supra-national judicial body. According to Hegel, however, the masses are not able to detect this “plan.” The people are only pawn sacrifices on this great historical stage. Their passions bring forward the demands of history without them even being aware of it – Hegel uses the term “cunning of reason” to make clear that the ‘Weltgeist’ (world spirit) employs individual “great men” to accelerate the development of history (Alexander the Great, Caesar, as well as Hegel’s contemporary, Napoleon Bonaparte, whom Hegel described as “the world spirit on horseback”). The highest achievement of those chosen ones is that they overthrew the existing state of affairs and helped the new to break through. For Hegel, the history of the state and its populations reveals that reason slowly submits to the material world and eventually becomes “one” with it. The course of history is inevitable and determined by laws; or, as Emil Angehrn puts it: “Inevitably, this is due to its natural-teleological premises. That man, by nature a free being, must develop its essence by necessity, corresponds to the general development grid of in-itselfness and being for itself (Ansichsein und Fürsichsein), possibility and reality: What someone really is, due to his or her potential, will be realized. The seed must bring that which is invested in it to fruition; it must evolve to full life or perish. This is the law of life, to which the life of the world mind is also subjected.”

Karl Marx also held a teleological conception of history. He characterized all history as a dialectical process in each stage of which the previous scheme of the relations of production is overcome. According to Marx, history started from a primitive paradise society and developed over several stages from slave society, feudalism, and bourgeois society to the current state of capitalist society. Marx predicted the overcoming of class society in the future and thus the end of “pre-history”. Lothar Kolmer summarizes Marx’s prediction of the future like this: “Within the progressive stages of development, the exploitation, misery and anger of the exploited working class grows (…) If the people possess nothing than their own bodies, and when the productive forces have reached such a degree of development, revolution and then the dictatorship of the proletariat will ensue.”

Other classical authors have postulated different purposes of history, both abstract

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7. Kolmer (2008), 39: Kolmer appraises Marx’s prognosis sarcastically: “Marx attempted a social analysis in order to be able to understand and apply its laws of motion. But it is precisely the insights which he was most proud of, such as impoverishment, intensification of class struggles, revolution in the highly industrialised countries, classless societies with proletarian dictatorships and free development of the individual, which were not realised. The revolution broke out in Russia, where there was hardly a proletariat such as that which existed in England, and it was driven by intellectuals.”
ones such as ‘reason’, ‘progress’, and ‘equality’ as well as concrete ones such as “a society without inequalities,” “a society without war”, and “the Last Judgement.”

The crucial move by Rohbeck in order to bring generational ethics/future ethics in touch with teleological theories of history such as Marx’s and Hegel’s is to argue that neither of them sought to predict what was going to occur, but only what should be happening. His new reading is that Hegel and Marx laid out what each current generation is morally obligated to do with regard to the future. In this knee-jerk interpretation, Rohbeck combines the philosophy of history with generational ethics, but, one must ask, can this strategy succeed? In order to evaluate his project’s chances of success, a deeper look at the core issues of the historical disciplines as well as some conceptual definitions are called for. Firstly, we have to make a conceptual distinction between ‘philosophy of history’ and the teleological theories of some philosophers who have come to the conclusion that history is determined by regularities (laws) and that the future is therefore predictable. For the sake of clarity, this group of philosophers, with Marx and Hegel as their most prominent proponents, is hereinafter referred to as “Teleologists of History.” Moreover, the (likewise ambiguous) term “theory of history” will be used synonymously with the term “philosophy of history”.

Now, what is the relationship between philosophy of history (= theory of history) and historiography, and what is the relationship between philosophy of history (= theory of history) and teleological doctrines? Arguably, the epistemological sub-discipline of philosophy of history (= theory of history) could logically develop only after historiography had emerged as a scientific discipline. Fact-oriented, systematic approaches to historiography became successful in the second half of the 19th century; at the same time, metaphysical speculations such as Hegel’s were pushed back. Scientific historiography as a discipline is primarily interested in the fact-oriented theming of history (objective sequence of events, the “brute facts”). Teleological views on history (and future) were eventually superseded by the methodology of historiography, the sub-discipline that reflects upon what historians should be doing and how they should do it. It is undisputed that historians must command their own artisanal and technical ‘on the ground’-methods when conducting their field work. However, it can be disputed whether or not historians should format the historical

8. This seems also necessary because the German term “Geschichtsphilosophie” cannot be literally translated into English. The direct translation would be the English term “philosophy of history” but this designates epistemological questions of history as a scientific discipline (for which the German term would be: “Erkenntnis-/Wissenschaftstheorie der Geschichtswissenschaft”).


10. There are graduations. That Hegel had a teleological understanding of history cannot be seriously disputed; the case with Kant is much less clear.

11. Lembeck (2010), 24

12. Lembeck (2010), 25

13. “Format” has been chosen as a neutral term; it could be replaced with...
facts in order to tell a story. It is disputable if history itself needs to be theorized, and if so, in which way history as a discipline is reliant on theories. Some insist that history does need theory. In this vein, Kolmer criticizes the positivist “common opinion” (which he opposes) as follows: “Historians let events unfold chronologically. They believe that they are usually connected by cause-effect-explanation (heavy rain > crop failures > famine > revolution). (...) According to common opinion, historical reality is contained within the sources.”14 This is indeed a view that most historiographs/scholars of history as a university discipline nowadays would subscribe to. According to the “common opinion”, the historiograph/historian provides the raw material for historical novelists, but should not himself be writing “a story.” The historiograph, in line with Max Weber, should be value-neutral.

To be sure, any such historiography with an interest in being objective (criticized by opponents as being “objectivist” or “positivist”) does not, of course, work with the methods of trial and error used in the natural sciences. Even those scholars who call the university discipline “History” a science admit that it differs substantially from all natural sciences. Historians do not build experimental arrangements so as to test hypotheses empirically. History cannot be played out more than once. Most scholars of history as a discipline disapprove of searching for “laws of history” because they consider such nomothetic historical representation self-falsifying.15 However, Kolmer (and Rohbeck) insist that ‘history needs a theory’. But their alleged need for theory rests on a misinterpretation: they confuse the ‘need for a theory’ with the so-called ‘selection problem’. Natural, social and human scientists alike are concerned with the selection problem: Given the large number of important and interesting questions, how can I, as a scientist, use my working time optimally and efficiently? Nobody has the time, let alone the financial resources to investigate all scientific problems that are “out there.” Therefore, unfortunately, some kind of selection has to be made. These decisions can be made both strategically as well as according to personal preferences.16

14. Kolmer (2008), 9
16. Conveyors or university presidents sometimes give guidelines to encourage work sharing and networking.

“consolidate” or “select,” depending on from what point of view it is considered.
In short, a historian is always faced with the problem of having to make this decision in terms of, for example, geographical scope: “Should I study the history of the United States, Europe, Easter Island, or of the whole world?”, or thematic scope: “Should I study the history of prostitution, money, state institutions, or human welfare?” When this fundamental decision is made, the normal business of the science of history begins with the search for, and evaluation of, sources. The problem of choosing a topic affects every scientist of every discipline, and it doesn’t cause a historian to have to become an author of a historical novel. It may well be the case that novelists with their beautiful prose make for a more interesting read – but to understand history as a “story” would be the end of historiography as a science.

With the rise of historiography as a scientific and university discipline, teleological thinking has lost its reputation and momentum. Hardly any other philosophical subfield has been subjected to such a devastating critique. So does Rohbeck really want to revive this almost extinct field? Is there at least a glimmer of hope for his project to link generational ethics and the historical-teleological thinking? As a matter of fact, there is. It is the idea of “progress,” which, while playing a central role in both disciplines, also feeds his project. Historical-teleological interpretation has always been, either implicitly or explicitly, directed towards the future. “Generational ethics” also considers the future (or more precisely: future generations). Future generations are the addressees (moral patients) of the moral reasoning of generational ethicists. One of their key questions is: How much does the living generation owe the future generations?

The positions on this issue range, for example, from intergenerational sufficiency (“A later generation is treated fairly if their well-being is at least at the level of sufficiency. If, having reached such a level, whether it is better or worse than that of other generations is impertinent. The future can, therefore, be worse than the present but this doesn’t necessarily mean that future generations have been treated unfairly”), to intergenerational egalitarianism (“Intergenerational justice is achieved when future generations are not disadvantaged due to their belonging to a certain generation”), and to comparative concepts of improvement (“Intergenerational justice means to enable future generations to having not just the same, but better living conditions than we have today”).

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17. Rohbeck (2014), 3
Since generational ethics discusses the extent and basis of our obligations to posterity, it touches upon the question of “progress” (the above mentioned aim of improvement of later generations) and “stagnation” (intergenerational egalitarianism). Likewise, historical-teleological thinking conceptualizes the course of history either as progress, stagnation, or decline of mankind. These three options are metaphorically associated with the images of circles, waves or upward spirals or even linear ascending arrows. The idea of “progress” already existed in ancient times; it was largely (but not entirely) lost, however, in the European Middle Ages, before being revived in the Enlightenment period, especially in Turgot and Condorcet but also later in Hegel and Marx.

A second seemingly similar approach to both historical-teleological thinking and generational ethics is that at least some generational ethicists take mankind as a whole into view. There are, of course, several distinct discourses in generational ethics. However, many theories of intergenerational justice treat “generations” equivalent to an average individual. Rohbeck is right to point out the parallel: “In the enlightenment period, philosophy of history treated humanity as an individual who is making progress and thereby exerts its perfectibility.” This apparent common ground will now be examined more closely.

Progress in what terms?

To the question: “Progress of what?”, Voltaire, Turgot, Condorcet and Comte unanimously replied: “Reason.” Hegel’s and Fichte’s answer was: “Freedom.” Since the naming of this discipline (Voltaire 1753: “La philosphie de l’histoire”), classical philosophers of history have taken a variety of perspectives on progress – thus the question arises: Which of them could be compatible with generation ethics’ view of progress? Is the “currency of progress” the same in both disciplines?

As far as generation ethics is concerned, it answers the “currency” or axiological question on the one hand with the concept of capital and, on the other hand, with the idea of well-being. Reflecting on the first concept, Axel Gosseries, for example, states that “It [the basket that is transferred by each generation to the next one, J.T.] contains a capital, broadly understood, which consists of a variety of elements, namely physical ones, but also technological, cultural, relational, political and other elements.”

A second strain of thought that is more closely linked to the philosophy of his-
tory sees human welfare in the sense of gratification as that which the present generation should, if possible, secure for future generations. Since man has been a “needy being” since its emergence in history, with basic needs (such as food, sleep, shelter, security, autonomy), it is possible to measure the degree of fulfilment of needs by indicators / indices such as the Human Development Index (HDI). There has been a strong increase of the HDI in the last 300 years, i.e. progress, which is also morally relevant. Welfare ethics are currently the best known and (at least potentially) completely universalist ethics. Welfare ethics define the moral value of an action based solely on its welfare effects for humans or sentient beings.

Utilitarian theories and welfare theories take a genuine interest in historical facts, esp. in the well-being of mankind at different points in time. The empirical findings as to whether the welfare of the average individual on the planet has decreased, increased or remained the same over time attains normative significance in welfare ethics. In short, many contemporary scholars of intergenerational justice, like myself, declare the welfare of mankind (not the “will of freedom” as in Fichte, or the “overcoming of class antagonism” as in Marx, etc.) as their ‘currency’ of justice. Over the past few decades, scientific research into objectively measurable “welfare” has made significant progress – a progress to which many disciplines have made their contribution. As discussed elsewhere, “welfare” should be conceptualized as the fulfilment of basic needs. According to the ethicists Len Doyal and Ian Gough, there are only a few different needs; and they are universal in the sense of being part of the conditio humana. Insofar as subjectivist and culturalist schools of thought assert that each culture and each generation has their own distinct needs, they are confusing ‘needs’ either with ‘interests’ or ‘desires’, or they are confusing them with different modes of need satisfaction. These modes of satisfying basic needs are, of course, numerous and vary from individual to individual and from culture to culture.

Unlike the sort of ‘utility’ which utilitarians have in mind, it is possible to empirically measure how many people can meet their basic needs. The development of indicators for, and ways of measuring, ‘well-being’ began in the 1960s – as a counter concept to purely economic measures such as GDP. The group of so-called objective (descriptive) approaches describes observable living conditions and resources that are usually monitored by experts in social sciences, economics or medicine. These approaches include the Human Development Index (HDI), the Human Wellbeing Index and the Weighted Index of Social Progress. The most well-known of these indices is certainly the Human Development Index, which is calculated annually by the United Nations Human Development Programme (UNDP). It was developed primarily by scientists from developing countries (ul-Haq, Sen).

26. Due to the problems of the so-called subjective approaches to measuring well-being, only the objective approaches will be discussed here.
Historians have only recently considered how human well-being has developed throughout the history of mankind.  

For thousands of years, changing power-relations and political borders were far more interesting than the everyday life stories of ordinary people. The science of history can be linked with generational ethics—provided this is understood as a form of welfare ethics—by starting with a description of the welfare of different generations. It is now almost undisputed that despite rapid increase in world population, an average individual in the world today has a much higher HDI than she would have had in past epochs. As recently as 1850, the average global life expectancy was 30-40 years. The majority of the population was not free, there were enormous gaps between social classes, and there were frequent wars and numerous epidemics. Doing the laundry took days, and travelling took weeks. The public masses could neither read nor write. The basic needs were met to a much lesser extent than they are today. Preventable diseases were widespread even in the most developed countries. Lawrence Stone, a historian, explains: “Since personal and public hygiene were almost completely unknown, spoiled food and contaminated water were a constant source of danger. […] These primitive sanitary conditions resulted in constant outbreaks of bacterial stomach infections. The Ruhr was the most terrible and killed a huge amount of victims of both sexes and all age groups. Stomach problems of all kinds were chronic due to imbalances in diet among the rich and a lack of, or spoiled, food amongst the poor. […] People frequently contracted worms, making for long and unappetizing suffering. […] For women, childbirth was an extremely dangerous experience. […] Furthermore, there was a constant threat of fatal accidents in […] dealing with animals – horses were probably at least as dangerous as cars are today […]” And Yuval Noah Harari, another historian, calls to mind that “In the 19th century, even the best doctors were not able to treat infections and stop gangrene. In field hospitals, doctors amputated soldiers’ arms and legs after even just minor injuries for fear of infection. These amputations and other operations (e.g. pulling a tooth) were, of course, carried out without anesthesia. It was not until the mid-19th century that anesthetics such as ether, chloroform and morphine came into regular use. Before the discovery of chloroform, four soldiers had to hold their wounded comrades while the doctor sawed off an injured leg. The morning after the battle of Waterloo in 1815, there were mountains of sawed-off limbs next to the field hospital. The medical corps often employed carpenters and butchers, since they were the best to deal with knives and saws.”

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29. Harari, 327
Generational Ethics and Philosophy of History – Consensus and Disagreement

The notion of ‘progress’ is of great importance to both the philosophy of history as well as to generational ethics. Welfare ethics ascribe an intrinsic value to well-being. An empirically determinable phenomenon therefore receives normative value. It follows, according to the time perspective, that any living generation should increase the wellbeing of all ‘moral patients’ (here: generations, including their own). Here, however, we arrive at the first variance from the naive optimism about progress displayed by the philosophers of history who welcomed only the progressions brought about by science and technology. For generational ethicists, progress in science and technology is merely a sub-goal, that is, commendable insofar as it contributes to the progress in human welfare. The downsides of an unreflective use of technology and the dangers of ethically irresponsible scientific research, such as nuclear or genetic research, are generally deplored. It may be necessary for reasons of caution to brake or steer the progress of the sciences in this regard. The belief in a better world through technological progress has begun to crumble in the 20th century. However, this should not lead to the empirically false assumption that the welfare of the average individual on the planet is currently sinking. The constant and correct repetition that, for the first time in history, humanity is capable of utter self-destruction (e.g. by nuclear weapons or environmental disasters) should not lead to the mistaken conclusion that already today, fewer and fewer people are having their basic needs met. Since the late 1970s, the HDI has been calculated for almost all of the world’s countries. At a global level, it has risen steadily. This is not only true for the “developed” parts of the world, but even more so for poor countries. If the variance is assumed to be constant, our present era is the most attractive in history until now. The stakes have grown, and mankind is under the wire, but it has not yet crashed.

The biggest disagreement among philosophy of history and generational ethics is the question of whether or not the future is predetermined in any meaningful way. Even though an ethicist conceives of obligations towards posterity, she does not have to say anything about whether there will be more or less intergenerational injustices in the future. The ethicist makes neither an optimistic nor a pessimistic statement about the future. She makes no forecast at all. Generational ethics as a discipline never predict what is going to happen, but only what we should be doing. Since generational ethics aims to identify morally right actions, its implicit premise is that the future is, at least in principle, open to human intervention. This is also the standard view of historiographers nowadays. Harari writes: “A single path leads from the past to the present, but in the future there lies an infinite variety of possible paths. Some are wider and better signposted than others, which is why we strike them with greater probability, but often history takes completely unpredictable twists. [...] There are certainly scientists who find deterministic explanations for events such as the rise of Christianity. [...] Historians consider such deterministic theories with a large dose of scepticism. This is exactly what distinguishes the science of history: The more you know about a historical period, the more difficult it is to explain why the events took this course and no other. Those who only superficially know an era only recall the options that were eventually realized, and can easily explain in retrospect
why this development was not to be avoided. Those who know more about a particular era also recognize some of the paths that were not taken. [...] It’s no different today. If we look to the future, we may ask for examples: [...] are we heading towards an ecological catastrophe or a technological paradise?”

Historiography is primarily retrospective, not prospective. It does not extrapolate history into the future. Instead, it shows the possibilities for humanity at different times.

Conclusion

First, the attempt to incorporate generational ethics/future ethics into teleological concepts of history, thereby reviving the latter, is bound to fail from the start. The teleological concepts of history could only be revived if our understanding of science were reduced to how it was in the 18th century. No one can seriously want this.

But what about the other way around? Would generational ethics/future ethics benefit from incorporating teleological concepts of history if itself stayed the ‘lead discipline’? Yes, it makes sense that the currently booming generational ethics should not only note the knowledge of the historiography (of course, this is primarily important), but also the speculations of teleology of history because they may prove to be somewhat informative. Core questions of such a research program would then be about how the futures described in historical-teleological concepts ought to be, are intended to be, or whether they are, simply, impossible. These futures should be assesses but not forecasted by ethicists. This pool of futures ranges from Condorcet’s highest level of human development to Marx’s classless society. These possible futures are supplemented by the visions of society designed by great thinkers from the past, when the unknown was undiscovered space on the map, instead of future time. The pool of futures is supplemented by the creative utopistes, for example; Morus, Campanella, Bacon, Harrington, Zamyatin, Mercier, Huxley or Orwell. Here is a wide, fallow field for generational ethics.

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30. Harari (2013), 290